

GOVERNMENT OF ZAMBIA

STATUTORY INSTRUMENT NO. 7 OF 2016

The Mines and Minerals Development Act, 2015

(Act No. 11 of 2015)

**The Mines and Minerals Development
(General) Regulations, 2016**

ARRANGEMENT OF REGULATIONS

PART I

PRELIMINARY

Regulation

1. Title
2. Interpretation
3. Cadastre unit
4. Geometric rules for cadastre coordinates
5. Cadastral co-ordinates and beacons setting
6. Cadastral maps

PART II

APPLICATION FOR A MINING RIGHT OR NON-MINING RIGHT

7. Application for mining right
8. Application for non-mining right
9. Application to export, import, etc., mineral, ore or mineral product
10. Application to export, sell, etc., radioactive minerals
11. Application for mineral analysis or valuation certificate
12. Authorisation of agent
13. Assignment of identification code
14. Application polygon
15. Offer letter by Director of Mining cadastre
16. Notice of rejection of application by Director of Mining Cadastre

17. Grant of mining right or non-mining right
18. Amendment of licence
19. Change in particulars of holder
20. Monthly sales and exports, purchases and imports by mineral trading permit holders

PART III

RENEWAL OF MINING RIGHT AND MINERAL PROCESSING LICENCE

21. Application for renewal of mining right or mineral processing licence
22. Application polygon for renewal
23. Modification of perimeter
24. Rejection of application for renewal
25. Grant of renewal

PART IV

ALTERATION OF EXPLORATION OR MINING AREA

26. Application for alteration of exploration or mining area
27. Application polygon for alteration
28. Approval of alteration

PART V

TRANSFER OR ASSIGNMENT OF MINING RIGHT OR MINERAL PROCESSING LICENCE

29. Application for consent to transfer or to assign
30. Grant of consent to transfer or assign

PART VI

ABANDONMENT OF MINING RIGHT AREA

31. Application to abandon land subject to licence
32. Grant of certificate of abandonment

PART VII

ELECTRONIC SUBMISSION OF APPLICATION

33. Documents not permitted to be e-filed
34. General e-filing and e-payment principles
35. Validation of area of interest
36. Format of e-filed documents
37. Acceptable file formats

38. Priority time for applications
39. User ID and electronic signature
40. Responsibility for filing
41. Original documents to be maintained by persons
42. Proof of application or electronic payment
43. Public access
44. Printing of e-filed documents
45. Certified copies
46. Interruption of service
47. Special provisions where rules not complied with
48. Applicable fees

PART VIII

REPORTS BY MINING RIGHTS AND MINERAL PROCESSING LICENCE HOLDERS

49. Reports by holder of exploration licence
50. Monthly return of mineral production by mining and mineral processing licence holders
51. Submission of annual reports by mining and mineral processing licence holders
52. Programme of future operation
53. Submission of geological report
54. Submission of audited financial report

PART IX

GENERAL PROVISIONS

55. Commencement of exploration, mining and mineral processing operations
56. Notice of appeal
57. Fees, area charges, etc.
58. Pegging certificate
59. Construction of temporary beacon
60. Construction of permanent beacon
61. Defacing, alteration, destruction, etc., of temporal or permanent beacon

- 62. Destruction or disposal of samples
- 63. Area subject to conflict
- 64. Revocation of S.I. No. 84 of 2008

FIRST SCHEDULE

SECOND SCHEDULE

THIRD SCHEDULE

- “electronic” includes digital or online submissions;
- “electronic document” means an original document in electronic format;
- “electronic service” means electronic transmission of a document between a person and the Mining Cadastre Office;
- “exploration area” means an area of land subject to an exploration licence;
- “exploration licence” means an exploration licence granted under Part III of the Act;
- “exploration operations” means operations carried out in the course of exploration;
- “filing” means the process of submitting a document online, electronically or in paper form as applicable, at the Mining Cadastre Office;
- “holder” means a person in whose name a mining right or non-mining right is registered under the Act;
- “hyperlink” means an electronic connection or reference to another place in a document, such that when selected, the user is taken to the portion of the document to which the hyperlink refers;
- “ID” means a unique user identification;
- “mining information management system” means the system used by the Mining Cadastre Office to administer mining rights and non-mining rights;
- “PDF” means portable document format, a file format that preserves all fonts, formatting colours and graphics of any source document, regardless of the application platform used;
- “pegging certificate” means a certificate issued by a surveyor after demarcation of a licence or mining right in accordance with regulation 58;
- “perimeter” means the external contour of the ground made up of contiguous cadastral units, which is the subject of a licence or mining right or an application for a licence or mining right;
- “Priority ID” means a unique user identification number assigned in the mining rights management system to assign

priority of an application for a mining right or mineral processing licence; and

“scanned format” means an electronic document created by scanning a paper format.

3. (1) For the purposes of the Act and these Regulations, the surface area of Zambia is divided into cadastre units in squares of six seconds by six seconds in conformity with the grid defined by geographic sexagesimal coordinates in degrees, minutes and seconds represented at the edge of cadastral maps.

Cadastre
unit

(2) The cadastral maps shall be based on the official topographic map of the Republic of Zambia at the scale of 1:50,000 or 1:250,000 and based on the ellipsoid of Clarke 1880.

4. (1) Coordinates values shall be exact multiples of six seconds and all perimeters shall conform to the exact division.

Geometric
rules for
cadastre
coordinates

(2) A polygon that forms an application for a licence or mining right shall be composed of an exact number of indivisible cadastre units which are contiguous.

(3) An isolated polygon or a polygon which is joined to another polygon by only one vertex shall not be included in a licence or mining right application.

(4) Mining activity in a polygon that partially falls outside a transnational boundary shall only be undertaken within the national boundaries.

(5) An applicable fee shall be payable in respect of a polygon covered by a mining right as set out in the Second Schedule.

5. (1) A setting out on the ground of the cadastral coordinates shall be made by the use of the official parameters for the transformation between the topographical map coordinates and the Global Positioning System (GPS) coordinates.

Cadastral co
ordinates
and beacons
setting

(2) The Mining Cadastre Office shall provide the parameters outlined under sub-regulation (1) in order to guarantee the homogeneity in the positioning of licences and mining rights.

(3) Where there is a contradiction between the field topographical data and the cadastral co ordinates, the cadastral co ordinates take priority.

6. (1) The Mining Cadastre Office shall maintain an updated cadastral map.

Cadastral
maps

(2) The cadastral map and the cadastre registry shall be open to the public for inspection.

PART II

APPLICATION FOR A MINING RIGHT OR
NON-MINING RIGHT

Application
for mining
right

7. (1) A person who wishes to undertake exploration or mining shall apply to the Director of Mining Cadastre for an exploration licence or a mining licence in Form I set out in the First Schedule upon payment of the fees set out in the Second Schedule.

(2) A person wishing to carry out mining activities in respect of radioactive minerals shall apply to the Minister for consent in Form I set out in the First Schedule.

Application
for non-
mining right

8. (1) A person who wishes to undertake mineral processing shall apply to the Director of Mining Cadastre for a mineral processing licence in Form II set out in the First Schedule upon payment of the fees set out in the Second Schedule.

(2) A person who wishes to trade in minerals shall apply to the Director of Mines for a mineral trading permit in Form III set out in the First Schedule upon payment of the fees set out in the Second Schedule.

(3) A mineral trading permit shall cover the following classes of minerals:

- (a) gemstones;
- (b) precious metals;
- (c) base metals; and
- (d) industrial minerals.

(4) A person who intends to conduct gold panning shall apply to the Director of Mines for a Gold Panning Certificate in Form IV set out in the First Schedule upon payment of the fees set out in the Second Schedule.

Application
to export,
import, etc.,
mineral, ore
or mineral
product

9. A person who intends to import or export any mineral, ore or mineral product shall apply for a permit to the Director of Mines in Form V set out in the First Schedule upon payment of the fees set out in the Second Schedule.

Application
to export,
sell, etc.,
radioactive
minerals

10. A person who intends to acquire, store, transport, sell, import or export radioactive minerals shall apply to the Minister for consent in Form VI set out in the First schedule upon payment of the fees set out in the Second Schedule.

11. A person shall apply to the Director of Geological Survey for a mineral analysis certificate or a valuation certificate in Form VII set out in the First Schedule upon payment of the fees set out in the Second Schedule. Application for mineral analysis or valuation certificate
12. An agent who makes an application, under these Regulations, on behalf of another person shall submit, together with the application, the authority to act as an agent in Form VIII set out in the First Schedule. Authorisation of agent
13. The Mining Cadastre Office shall, upon receipt of an application under these Regulations, assign an identification code to the application. Assignment of identification code
14. (1) An applicant for a mining right or a mineral processing licence shall provide a paper copy and an electronic copy of geographical coordinates of the area of land in respect of which the licence is sought, except that where there are more than twelve geographical coordinates, a paper copy shall only contain the first twelve geographical coordinates. Application polygon
- (2) The geographical coordinates of the area of land referred to in sub-regulation (1) are valid from the date of an application to the date of the grant or rejection of the application.
- (3) A subsequent application that relates to a part or whole of the perimeter of an area already applied for shall be considered as partially or wholly overlapping with an area which is the subject matter of an application with a right of priority under these Regulations.
15. (1) The Director of Mining Cadastre shall, where the Mining Licensing Committee approves an application made under these Regulations— Offer letter by Director of Mining Cadastre
- (a) inform the applicant of the approved application through any of the following:
- (i) notification in the print media;
 - (ii) regular notices at the central or regional Cadastre Offices;
 - (iii) short messaging system (SMS); or
 - (iv) electronic mail; and
- (b) issue a letter of offer to the applicant stipulating the conditions of the offer in Form IX set out in the First Schedule.

(2) A letter of offer issued under sub-regulation (1) is valid for thirty days from the date of notification.

(3) Where an applicant, through payment of area charges set out in the Second Schedule, accepts the offer referred to in sub-regulation (1), the Mining Licensing Committee shall grant the applicant the licence.

Notice of rejection of application by Director of Mining Cadastre

16. The Director of Mining Cadastre shall where the Mining Licensing Committee rejects an application for a mining right or Mineral Processing Licence, inform the applicant of the rejection in Form X set out in the First Schedule.

Grant of mining right or non-mining right

17. (1) A grant of a mining right or non-mining right shall be in Form XVIII, XIX and XX, as the case may be, set out in the First Schedule.

(2) A grant of a certificate, permit or authorisation shall be in Form XXI, XXII, XXIII, XXIV, XXV, XXVI and XXVII, as the case maybe, set out in the First Schedule.

(3) The terms and conditions of a licence, certificate, permit or authorisation, as the case may be, are as set out in the Third Schedule.

Amendment of licence

18. (1) An application to amend a mining right or mineral processing licence shall, upon payment of the fees set out in the Second Schedule, be made to the Director of Mining Cadastre in Form XI set out in the First Schedule.

(2) Where the application for amendment relates to the inclusion of minerals —

(a) for a mining right or mineral processing licence, the application shall include a programme of operations for the additional minerals approved by the Director of Mines or Director of Geological Survey, as the case maybe; or

(b) which are radioactive, the holder of a mining right or mineral processing licence shall comply with the requirements of the Act and the Mines and Minerals Development (Prospecting, Mining, and Milling of Uranium Ore and other Radioactive Mineral Ores) Regulations, 2008.

S.I.No. 85 of 2008

Change in particulars of holder

19. A holder of a mining or non-mining right shall inform the Mining Cadastre Office of any change in the particulars of the holder in Form XII set out in the First Schedule.

20. The holder of a mineral trading permit shall submit to the Director of mines a record of the—

- (a) monthly sales and exports in Form XXXI set out in the First Schedule; and
- (b) monthly purchases and imports in Form XXXII set out in the First Schedule.

Monthly sales and exports, purchases and imports by mineral trading permit holders

PART III

RENEWAL OF MINING RIGHT AND MINERAL PROCESSING LICENCE

21. (1) An application for renewal of a mining right or mineral processing licence shall be made—

- (a) for an exploration licence, six months before the expiry of the licence;
- (b) for a mining licence, in the case of -
 - (i) artisanal mining, three months before the expiry of the licence;
 - (ii) small-scale mining, six months before the expiry of the licence; and
 - (iii) large-scale mining, one year before the expiry of the licence; and
- (c) for a mineral processing licence, one year before the expiry of the licence.

Application for renewal of mining right or mineral processing licence

(2) An application for renewal of a mining right shall be in Form XIII set out in the First Schedule.

(3) An application for renewal of a mining right in respect of radioactive minerals shall be in Form XIII set out in the First Schedule.

(4) An application for renewal of a mineral processing licence shall be in Form XIV set out in the First Schedule.

22. The Mining Cadastre Office shall, in accordance with regulation 5, provisionally plot onto the cadastral maps the perimeter that relates to an application for renewal of a mining right or mineral processing licence.

Application polygon for renewal

23. (1) The Mining Licensing Committee shall reject an application to modify the current perimeter that covers a new adjacent area where—

- (a) a part of the proposed area overlaps an area that is already subject to another mining right or licence or is under application;
- (b) a minimum of twenty-five percent of the original exploration area is not part of the modified area; and

Modification of perimeter

(c) the area falls below the minimum prescribed for safe mining operations.

(2) The Director of Mining Cadastre shall, where the Mining Licensing Committee rejects an application under sub-regulation (1), inform the applicant of the rejection in Form X set out in the First Schedule.

(3) A new area that is annexed to a licence shall conform with the maximum duration, and the prescribed fees, applicable to the original licence or mining right.

Rejection of application for renewal

24. (1) The Director of Mining Cadastre shall, where the Mining Licensing Committee rejects an application for renewal of a mining right or non-mining right, inform the applicant of the rejection in Form X set out in the First Schedule.

(2) The Director of Mining Cadastre shall, in addition to sub-regulation (1), endorse the rejection on the licence issued in respect of the mining right or non-mining right.

Grant of renewal

25. The Director of Mining Cadastre shall, where the Mining Licensing Committee approves an application for renewal of a mining right or mineral processing licence, endorse the approval on the licence issued in respect of the mining right or mineral processing licence.

PART IV

ALTERATION OF EXPLORATION OR MINING AREA

Application for alteration of exploration or mining area

26. (1) A holder intending to alter the holder's exploration area or mining area shall apply to the Director of Mining Cadastre, in Form XV set out in the First Schedule.

(2) A new exploration area or mining area shall conform to the prescribed cadastral units and the geometrical rules specified under regulation 4.

(3) The maximum surface area for which a licence or mining right may be granted shall not exceed the area stipulated in sections *twenty-one* and *thirty* of the Act.

Application polygon for alteration

27. The Mining Cadastre Office shall, pending the approval of an application for alteration of an exploration area or a mining area, provisionally plot the new perimeter on the cadastral map and record the new perimeters in accordance with regulation 5.

Approval of alteration

28. (1) The Mining Licensing Committee shall, where an application for alteration of an exploration area or a mining area meets the requirements of the Act and these Regulations, approve the application.

(2) Where the Mining Licensing Committee approves an application for alteration of an exploration area or mining area, the Director of Mining Cadastre shall endorse the approval on the licence issued in respect of that mining right.

PART V

TRANSFER OR ASSIGNMENT OF MINING RIGHT OR MINERAL PROCESSING LICENCE

29. (1) An application for consent to —

- (a) register the transfer of a share or shares in a company which holds a mining right or mineral processing licence;
- (b) transfer control of a company which holds a mining right or mineral processing licence; or
- (c) transfer or assign a mining right or mineral processing licence;

shall be in Form XVI set out in the First Schedule.

(2) An application under paragraph (c) of sub-regulation (1) shall be—

- (a) made by an assignor at any time during the validity of a mining right but not less than one hundred and twenty days before the expiry of the license; and
- (b) be accompanied by an application for a mining right or mineral processing licence, as the case may be, made by the prospective assignee.

(3) The periods stipulated in sub-regulation (1) of regulation 21 apply to this regulation, with the necessary modifications.

30. The Minister shall, where an application made under regulation 29 meets the requirements of the Act and these Regulations, grant consent to assign or transfer a mining right or mineral processing licence in Form XXX set out in the First Schedule.

Application for consent to transfer or to assign

Grant of consent to transfer or assign

PART VI

ABANDONMENT OF MINING RIGHT AREA

31. An application for the abandonment of all or any part of any land subject to a mining or exploration licence shall be in Form XVII set out in the First Schedule.

Application to abandon land subject to licence

32. The Director of Mining Cadastre shall, where the Director of Mining Cadastre approves an application for the abandonment of land, grant the certificate of abandonment in Form XXVIII set out in the First Schedule.

Grant of certificate of abandonment

PART VII

ELECTRONIC SUBMISSION OF APPLICATION

Documents
not
permitted
to be efiled

33. Despite any other provisions for electronic and online submissions, the following documents shall be filed conventionally, unless expressly required to be filed electronically by the Director of Mining Cadastre:

- (a) feasibility study reports;
- (b) environmental impact study reports;
- (c) any other reports and submissions as determined by Director of Mining Cadastre.

General e-
filing and e-
payment
principles

34. (1) Where a matter under these regulations requires the filing of an application, document or statutory report, the submissions may be filed electronically at the Mining Cadastre Office or online.

(2) A person may pay by electronic methods established by the Mining Cadastre Office.

(3) A person who wishes to make an electronic application shall, prior to submission, register as a user in the mining rights management system.

(4) A person who submits an application for a mining right or mineral processing license shall have the option to select the area of application by—

- (a) direct entry of geographical coordinates in the system;
- (b) selection of cadastre units in the system;
- (c) uploading geographical coordinates from a soft copy medium into the system; or
- (d) attachment of prescribed appendices using the PDF format.

Validation of
area of
interest

35. (1) Following submission of the area of interest electronically, the area of application shall be validated by the system against valid mining rights and earlier mining rights applicable to enable the user identify—

- (a) if the area of interest is free; or
- (b) partial or total overlaps.

(2) Where the area of interest partially overlaps, the applicant has the option to select free cadastre units for submission.

(3) Upon submission of a revised application under sub-regulation (2), the application shall be stored in the system for twentyfour hours within which time the application fee must be paid failure to which the application lapses.

(4) Upon payment of the application fee, the system shall assign a unique priority user name (ID) which shall also carry priority of the application in relation to other applications.

36. (1) A person shall ensure that an electronically filed document is formatted in accordance with the applicable formatting of paper documents acceptable to the Director of Mining Cadastre.

Format of
e-filed
documents

(2) The Director of Mining Cadastre shall specify the format acceptable for submission.

(3) The Director of Mining Cadastre may require a person to produce the original of a scanned document that has been filed electronically.

37. (1) A person may electronically submit a document in Microsoft Word, Microsoft Excel, Rich Text Format, Portable Document Format and any standard non proprietary graphic formats.

Acceptable
file formats

(2) Coordinates to be uploaded shall be submitted in Microsoft Excel format longitudes and latitudes in geographical format.

38. (1) An application submitted online or electronically shall be queued up and assigned priority time and date by the system.

Priority
time for
applications

(2) A priority time and date assigned shall be based on the Zambian official time zone.

39. (1) The Director of Mining Cadastre shall register users and provide each user with a unique user name (ID) and password.

User ID and
electronic
signature

(2) The user name referred to in subregulation (1), when used in conjunction with the personally selected password, constitutes a signature of the registered user on documents and management of licences and applications in the mining rights management system.

(3) Despite subregulation (2), a user may apply an electronic signature to a document to be submitted for purposes of these Regulations.

(4) In order to ensure the intent of the holder or applicant, the signature line on an electronically filed document shall bear the printed name of the user preceded by the symbol “/s/”.

(5) An electronic document may be signed by the Registrar of Mining Rights through the use of a printed signature preceded by the “/s/” symbol or through the use of the official signature stamp.

(6) The official signature stamp shall be merged with the electronic document and shall be visible when the document is printed and viewed electronically.

(7) A document which requires the signature of a holder or an applicant shall be filed with the Mining Cadastre Office in paper format scanned and maintained in a manner consistent with applicable record retention and archival rules.

(8) Where the document submitted is free from infection, the document shall be considered submitted and the Mining Cadastre Office shall send acknowledgment of receipt through the mining rights management system.

(9) A document which has been successfully received shall be reviewed for compliance with prescribed requirements and filing standards and where it complies with standards, shall be accepted and considered filed as of date and time it was received by mining rights management system.

Responsibility for filing

40. A holder of or applicant for a mining right or mineral processing licence shall have the same responsibility as a person filing a document in paper format and shall ensure that the document is properly completed and filed.

Original documents to be maintained by persons

41. (1) Despite any other provisions under these Regulations a person filing an application shall not submit a paper copy of the applications unless ordered to do so by the Mining Cadastre Office.

(2) Where the Act or these Regulations require that a paper document be submitted in its original form, a person shall submit the original hard copy within five days of a demand by the Director of Mining Cadastre.

Proof of application or electronic payment

42. Where a document has been submitted electronically, the document shall be considered to have been received when it has arrived at the hosting server for incoming communications in connection with which the user ID or signature is associated.

Public access

43. (1) The mining rights management system shall make available online basic mining rights information.

(2) The Director of Mining Cadastre shall make electronically filed, scanned documents and mining rights information available to the public.

Printing of e-filed documents

44. An electronically filed document shall be maintained in a printed format with the same content and formatting as if printed from its author program.

Certified copies

45. (1) A certified copy of an electronically filed document may be obtained electronically or be issued in the conventional manner by the Director of Mining Cadastre, as applicable.

(2) A certified copy shall be granted in the conventional paper format.

Interruption of service

46. The Mining Cadastre Office is not liable for any break in service, malfunction or error occurring in online or electronic transmission or receipt of electronically filed documents.

47. (1) The Director of Mining Cadastre may determine, if necessary, specific instructions connected with online and e-filing through an electronic entry in the system.

Special provisions where rules not complied with

(2) The Director of Mining Cadastre shall determine if any application deadlines may be extended as a result of rejection of efiled documents.

(3) The Director of Mining Cadastre may order a person to resubmit any document that is not compliant with the rules or may order the documents to be filed conventionally.

48. (1) All fees applicable to conventional hard copy applications apply to online and electronic applications and submissions.

Applicable fees

(2) A document, application or statutory report which requires to be accompanied by a fee or penalty may be rejected within twenty-four hours, if the payment has not been rendered.

PART VIII

REPORTS BY MINING RIGHTS AND MINERAL PROCESSING LICENCE HOLDERS

49. (1) The holder of an exploration licence shall—

Reports by holder of exploration licence

(a) at quarterly intervals, on or before the thirtieth day of the month following the end of each quarter, submit to the Director of Geological Survey and Director of Mines Safety a comprehensive report, which shall consist of a paper and electronic copy, relating to exploration operations carried out during the previous quarter supported by

- (i) maps and diagrams showing the areas where exploration operations have been carried out, and the locations where excavations have been made and boreholes sunk and the relevant geological data;
- (ii) documents and maps showing the results of a geological, geochemical or geophysical surveys;
- (iii) sections showing strata or ore bodies penetrated in an excavation or borehole and assay results; and
- (iv) documents showing the average number of employees broken into number and category of Zambian citizens and expatriates employed during the previous quarter in carrying out exploration operations;

- (b) submit to the Director of Geological Survey and Director of Mines Safety an annual comprehensive report of exploration operations, on or before the end of the first month following the end of the year, which shall include
- (i) a comprehensive summary of all pertinent geological, geochemical and geophysical data supported by maps, diagrams and sections and including in particular details of mineralisation revealed, calculations and estimates of ore reserves and the data on which such calculations and estimates are based;
 - (ii) a brief description of work undertaken; and
 - (iii) proof of the holder's compliance with the approved local business development programme; and
- (c) within six months of the expiry of each tenure of the licence, an interpretative report of exploration operations carried on during the period of the licence which includes
- (i) a comprehensive summary of all pertinent geological, geochemical and geophysical data supported by maps, diagrams and sections and including in particular details of mineralisation revealed, calculations and estimates of ore reserves and the data on which such calculations and estimates are based;
 - (ii) a brief description of work undertaken; and
 - (iii) details of beneficiation tests carried out.

(2) A holder of an exploration licence who wishes to apply for a mining licence shall include information provided for in paragraph (c) of subregulation (1).

Monthly
return of
mineral
production
by mining
and mineral
processing
licence
holders

50. (1) The holder of a mining licence or mineral processing licence shall, on or before the fifteenth day of each month, submit to the Director of Mines and Director of Mines Safety in respect of the preceding month a return of the quantities and value of mineral products produced from the mining area or mineral processing area, whether by way of trial or regular business of the removal of minerals from the mining area, in Form XXXIII and XXXIV, as the case may be, in the First Schedule.

(2) The mineral production return shall include a record of ore hoisted and treated and other information considered necessary to accurately record the value and quantity of minerals produced and processed.

51. (1) The holder of a mining licence or mineral processing licence shall submit to the Director of Mines and Director of Mines Safety not later than the 28th of February in each year, a report on the operations carried out in the mining area and operations ancillary thereto during the year ending on the previous 31st December.

Submission of annual reports by mining and mineral processing licence holders

- (2) The report referred to in subregulation (1) shall include
- (a) tonnage, type and grade of ore milled and its source;
 - (b) tonnages and grade of ore depleted from or added to reserves or moved from one category of ore reserve to another;
 - (c) a report on exploration work carried on within the mining area;
 - (d) the total footages of primary development, secondary development and exploratory drilling completed;
 - (e) calculations showing the ore recovery and dilution factors;
 - (f) the recovery percentages and efficiency of all mining and metallurgical processes and a metallurgical balance sheet showing the disposition of all metal and mineral products depleted from the ore reserves;
 - (g) a brief report on research projects or major technical investigations carried out and results to date;
 - (h) an operating cost sheet showing in detail the average cost of production expressed in cost per ton of ore mined and treated and cost per unit of finished product;
 - (i) a statement of work carried out on capital projects, and expenditure thereon;
 - (j) quantities and grade of end products produced, quantities sold and average selling prices;
 - (k) average number of employees broken into number and category of Zambian citizens and expatriates employed during the previous year;
 - (l) the holders compliance with the approved local business development programme; and
 - (m) a brief report on training carried out.

52. (1) The holder of a mining licence or mineral processing licence shall submit to the Director of Mines and Director of Mines Safety not later than the last day of November in each year a programme of operations in respect of the mining area for the ensuing year commencing on the following 1st January.

Programme of future operation

- (2) The programme referred to in sub-regulation (1) shall include—
- (a) an estimate of the tonnages and grades of ore or other material that will be mined or reclaimed and treated and their source;
 - (b) an estimate of primary and secondary development and exploratory drilling planned for the year;
 - (c) an estimate of the quantities of mineral products which will be produced, estimated average operating costs and anticipated selling prices for the year;
 - (d) details and estimated cost of capital projects to be undertaken;
 - (e) a forecast of changes anticipated in the mining methods, treatment processes or marketing arrangements;
a statement of research projects or major investigations to be carried out;
 - (g) estimated number of staff and labour requirements, stating the number of and category of Zambians and expatriates to be employed;
 - (h) a brief preview of the training programme for the year;
and
 - (i) a brief preview of the local business development programme for the year.

Submission
of geological
report

53. The holder of a mining licence shall, at intervals of two years, submit to the Director of Mines and Director of Geological Survey a detailed report of exploration activity including details of mineralisation and calculated and estimated ore reserves in the mining area and the data on which the calculation is based.

Submission
of audited
financial
report

54. The holder of a mining licence or mineral processing licence shall submit to the Director of Mines and Director of Mines Safety a copy of audited annual financial statements within three months of the end of each financial year.

PART IX

GENERAL PROVISIONS

Commencement
of
exploration,
mining and
mineral
processing
operations

55. (1) The holder of an exploration licence shall commence operations in accordance with the approved programme not later than six months from the date of grant of the licence.

(2) The holder of a mining licence or mineral processing licence shall commence operations in accordance with the approved programme of operations.

56. A notice of appeal shall be in Form XXXV set out in the First Schedule. Notice of appeal
57. (1) The fees set out in the Second Schedule are applicable for the matters stipulated therein. Fees, area charges, etc.
- (2) The minimum annual exploration expenditures are as set out in the Second Schedule.
- (3) A holder of a mining right or mineral processing licence shall pay the area charges set out in the Second Schedule on or before the anniversary of the grant of the mining right or mineral processing licence.
- (4) A holder of an exploration licence, mining licence or mineral processing licence who defaults on payment of the area charges commits an offence and is liable, upon conviction to a fine not exceeding five hundred thousand penalty units.
58. (1) An application under these Regulations shall not be approved if the area covered in the application overlaps a neighbouring mining right or mineral processing licence. Pegging certificate
- (2) Following the grant of a licence under these Regulations, a holder of a mining right or mineral processing licence, subject to the direction of the Mining Cadastre Office shall, at the holder's cost
- (a) Survey the mining right area and place—
- (i) temporary beacons for an exploration licence; or
- (ii) permanent beacons for a mining or mineral processing licence; and
- (b) obtain a pegging certificate.
- (3) A pegging certificate shall be in Form XXIX set out in the First Schedule and shall be submitted to the Mining Cadastre Office.
59. A temporary beacon consists of an iron bar, or a hard wood or wooden pole treated with Construction of temporary beacon
- preservative, two metres in length and fifty centimetres into the ground, surrounded by a pile of stones not less than sixty centimetres in diameter at the base and not less than one metre high and having attached to it a plate bearing the following details:
- (a) the registered number of the exploration licence and the name of the holder of the licence;
- (b) the date of beaconing; and
- (c) the beacon number.

Construction
of permanent
beacon

60. (1) A permanent beacon consist of—
- (a) an iron pin, not less than thirty eight centimetres long and one and a half centimetres in diameter, set in concrete not less than one hundred and fifty cubic centimetres, and buried so that the top of the pin is at least twenty five centimetres below the surface of the ground;
 - (b) a masonry or concrete pillar not less than ninety by ninety centimeters at the base, not less than fifty by fifty centimeters at the apex, and not less than one meter high, built centrally over the pin or hole;
 - (c) an iron pin concreted into the pillar plumbed centrally over the buried pin or hole and projecting beyond the apex of the pillar for a distance not more than one and half centimetres; and
 - (d) a rustproof metal plate, not less than five by five centimetres, securely attached to the pillar and bearing on it the following details:
 - (i) the markings for the purpose of identifying the beacon by the land surveyor in accordance with the Survey Regulations;
 - (ii) the registered number of the mining licence or mineral processing licence concerned and the name of the holder of the licence; and
 - (iii) other information as may be required by the Act.

(2) Where the details required under sub-regulation (1) (d), are imprinted upon the pillar, the metal plate shall not be required.

(3) The pin, under sub-regulation (1)(a) -

- (a) in sandy soil, shall not be less than sixty centimeters long; and
- (b) where the ground is rock and it is impossible to drive an iron pin into it, a hole not less than one and half centimeters in diameter and two centimeters deep shall be drilled in the rock, which hole shall be in lieu of such pin.

Defacing,
alteration,
destruction,
etc., of
temporal or
permanent
beacon

61. A person who willfully or maliciously defaces, alters the position of, removes, pulls down, injures, destroys or erects or renews in any position other than its proper or original position, a temporary beacon or permanent beacon, erected under these regulations commits an offence and is liable, on conviction, to a fine not exceeding five hundred thousand penalty units or to imprisonment for a period not exceeding five years, or to both.

-
62. (1) The holder of an exploration licence in whose area geochemical surveying, reverse circulation or diamond drilling has been carried out shall not destroy or dispose of samples or diamond drill cores or the records relating thereto without written authority from the Director of Geological Survey. Destruction or disposal of samples
- (2) The Director of Geological Survey may take possession of such samples or cores or records relating thereto.
63. On the commencement of these Regulations, where an area is subject to a conflict or overlap that could affect the mining activity area, that area - Area subject to conflict
- (a) shall be blocked off until the resolution of the conflict; and
- (b) an application over that area shall not be accepted.
64. The Mines and Minerals Development (General) Regulations, 2008 are revoked. Revocation of S.I. No. 84 of 2008

FIRST SCHEDULE

(Regulations 7, 8 (1), 8 (2), 8 (4), 9, 10, 11, 12, 15 (1), 16, 17, 18 (1), 19, 20, 21 (2), 21 (3), 21 (4), 23 (2), 24, 26 (1), 29 (1), 30, 31, 32, 50 (1), 56 and 58)



REPUBLIC OF ZAMBIA

Form I
(Regulation 7)
(To be completed in triplicate)

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINING RIGHT					
	Shaded fields for official use only	Licence Code			
		Date and Time			
Information Required	Information Provided				
1	Type of Mining Right	Exploration	Mining	√	
2	Scale of operation	Large scale	Large-Scale		
		Small-Scale	Small scale		
3	Minerals	Non-Radioactive	Radioactive		
4	(a) Name(s) of applicant(s)				
	(b) Type of applicant (Artisanal mining only)	Individual	Co-operative		
5	(a) Date of Birth (dd/mm/yyyy)				
	(b) Nationality				
	(c) Identity Card (National Registration Card) No. or Passport No. – Attach certified copies				
6	Applicant's physical and postal addresses				
	Tel:				
	Mobile No.:				
	Fax:				
	Email:				
7	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)				
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	

8	Current Mining Rights or licences in Zambia, if any, by applicant	Mining Right/Licence No.	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
9	Previously held mining rights in Zambia, if any, by applicant	Mining Right/Licence No.	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
10	Currently held mining rights or licences in Zambia, if any, by subsidiary companies	Mining Right/Licence No.	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
11	Currently held mining rights or licences in other countries by applicant	Mining Right/Licence No.	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
12	(a) Has the applicant been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015, or any other law within or outside Zambia? If yes, specify details: Nature of offence: Date of Conviction:			
	(b) Have you been declared bankrupt, or if a company, have you been in liquidation? If yes, specify details			
13	Has the applicant ever applied for a mining right or licence in Zambia? If yes, please give details			
	Mining right or licence applied for	Location	Area km ²	Date of application
Status of application <input type="checkbox"/> Grant <input type="checkbox"/> Rejection <input type="checkbox"/> Pending				
14	Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-minute-Second format, Arc 1950)			
	(a) Location	Province	District	
		Locality		
	(b) Size (in sq. km)			
	Exploration (activity) area not exceeding 2000 km ² as follows: (i) Large-scale (ii) Small-scale	121 – 59,880 cadastre units 3 – 120 cadastre units		
Mining (activity) (i) Large-scale (ii) Small-scale (iii) Artisanal	121 – 7,485 cadastre units 3 – 120 cadastre units Not exceeding 2 cadastre units			
(c) Coordinates (use additional sheet to indicate coordinates if required)	Latitudes (S)		Longitudes (E)	

		D	D	M	M	S	S	D	D	M	M	S	S
	Corner 1												
	Corner 2												
	Corner 3												
	Corner 4												
	Corner 5												
	Corner 6												
	Corner 7												
	Corner 8												
	Corner 9												
	Corner 10												
	Corner 11												
	Corner 12												
15	Appendices												
	(a) Exploration Licence												
	Appendix No. 1	Proposed programme of exploration operations (should include an estimate of the investment commitment in the approved format)											
	Appendix No. 2	Proposals for employment and training of citizens of Zambia											
	Appendix No. 3	Proposals for promotion of local business development											
	Appendix No. 4	Tax clearance certificate issued under Income Tax Act (Cap. 323)											
	Appendix No. 5	Any further information which the Director of Mining Cadastre may require											
	Appendix No. 6	Proof of consent from appropriate authority if activity is in a National Park, Game Management Area											
	(b) Mining Licence - Large Scale												
	Appendix No. 1	A comprehensive statement of the mineral deposits in the area over which the license is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions)											
	Appendix No. 2	Feasibility study for mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)											
	Appendix No. 3	Approved Environmental Impact Statement											
	Appendix No. 4	Details of expected infrastructure requirements											
	Appendix No. 5	Proposals for employment and training of citizens of Zambia											
	Appendix No. 6	Proposals for promotion of local business development											
	Appendix No. 7	Tax clearance certificate (issued under Income Tax Act, Cap. 323)											
	Appendix No. 8	Plan of proposed mining area											
	Appendix No. 9	In the case of gemstones, a plan for cutting, polishing and faceting of gemstone in Zambia											
	Appendix No. 10	Any further information which the Director of Mining Cadastre may require											
	(c) Mining Licence - Small-Scale												
	Appendix No. 1	Copy of relevant prospecting permit and prospecting report											
	Appendix No. 2	Proposed programme of mining operations (should include forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)											
	Appendix No. 3	Description of the mineral deposit in area over which the licence is sought											
	Appendix No. 4	Statement of duration for which licence is sought (should not exceed ten years)											
	Appendix No. 5	Tax Clearance Certificate issued under Income Tax Act (Cap. 323)											
	Appendix No. 6	Approved Environmental Project Brief											
	Appendix No. 7	Any further information which the Director may require											
	(d) Artisanal Mining												
	Appendix No. 1	Environmental Commitment Plan											
	Appendix No. 2	Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest)											
	(e) Radioactive Minerals												
	Appendix No. 1	Plan and description of mine, processing facilities and proposed disposal sites											
	Appendix No. 2	Copy of licence issued under the Ionizing Radiation Protection Act, No. 16 of 2005											
	Appendix No. 3	Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation											
	Appendix No. 4	Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation											

	Appendix No. 4	Programme to assist authorities outside the mining area in <u>planning and preparing to limit adverse effects of radiation</u>
	Appendix No. 5	Proposed programme for selecting, using and maintenance of <u>personal protective equipment</u>
	Appendix No. 6	Proposed training programme for workers in relation to radiation safety
	Appendix No. 7	Proposed ventilation and dust control methods and associated equipment
	Appendix No. 8	Proposed security measures during mining, processing, storage, transportation (including measures to alert the holder of acts of sabotage)
	Appendix No. 9	Storage, transportation and marketing arrangements in <u>conformity with the Nuclear Non-Proliferation Treaty (NPT)</u>
	Appendix No. 10	Any further information which the Director may require
Application Fee		
..... Applicant/Authorised Representative *	 Date
..... Officer		
FOR OFFICIAL USE ONLY		
Received by:		OFFICIAL STAMP
Officer		
Amount Received:		
Serial No. of Application:		

NOTE

1. An application for large-scale or small-scale mining shall only be made by a company.
2. A small-scale mining activity may only be undertaken by a citizen-owned, citizen influenced and citizen empowered company.
3. Artisanal mining shall only be carried out by an individual or a cooperative.
4. *Delete what is not applicable

Form II
(Regulation 8 (1))
(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINERAL PROCESSING LICENCE

		Shaded fields for official use only	Licence Code				
			Date and Time				
Information Required		Information Provided				√	
1	Period						
2	Minerals						
3	Name of applicant						
4	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:						
5	(a) Company Registration No. (Attach certified copies of registration certificate)						
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.		
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.		
	(d) Company Chief Executive Officer	Name		Nationality			
(e) Company Secretary	Name		Nationality				
6	Current mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence No.	Location	Area (km ²)			
		(a)	(a)	(a)			
		(b)	(b)	(b)			
		(c)	(c)	(c)			
		(d)	(d)	(d)			
		(e)	(e)	(e)			
		(f)	(f)	(f)			
7	Previously held mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence No.	Location	Area (km ²)			
		(a)	(a)	(a)			
		(b)	(b)	(b)			
		(c)	(c)	(c)			
		(d)	(d)	(d)			
		(e)	(e)	(e)			
		(f)	(f)	(f)			

7	Previously held mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence No.	Location	Area (km ²)									
		(a)	(a)	(a)									
		(b)	(b)	(b)									
		(c)	(c)	(c)									
		(d)	(d)	(d)									
		(e)	(e)	(e)									
8	Currently held mining rights or licences in Zambia, if any, by subsidiary companies	Mining Right or Licence No.	Location	Area (km ²)									
		(a)	(a)	(a)									
		(b)	(b)	(b)									
		(c)	(c)	(c)									
		(d)	(d)	(d)									
		(e)	(e)	(e)									
9	Currently held mining rights or licences in other countries by applicant	Mining Right or Licence No.	Location	Area (km ²)									
		(a)	(a)	(a)									
		(b)	(b)	(b)									
		(c)	(c)	(c)									
		(d)	(d)	(d)									
		(e)	(e)	(e)									
10	Has the applicant been convicted of an offence involving fraud or dishonesty or of any offence under the Mines and Minerals Development Act, No. 11 of 2015, or any other law within or outside Zambia? If yes, specify details: Nature of offence: Date of Conviction:												
	11 Has the applicant ever applied for a mining right or Licence in Zambia? If yes, please give details												
	Mining right or Licence applied for	Location	Area km ²	Date of application									
Status of application <input type="checkbox"/> Grant <input type="checkbox"/> Rejection <input type="checkbox"/> Pending													
12	Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-minute-Second format, Arc 1950)												
	(a) Location	Province	Date of application										
	Locality												
	(b) Coordinates (use additional sheet to indicate coordinates if required)	Latitudes (S)		Longitudes (E)									
		D	D	M	M	S	S	D	D	M	M	S	S
	Corner	1											
	Corner	2											
	Corner	3											
	Corner	4											
	Corner	5											
	Corner	6											
	Corner	7											
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												
13 Appendices													
Appendix No. 1	Description and plan of surrounding developments												
Appendix No. 2	Feasibility study for processing operations (should include a forecast of capital investment, proposed plant capacity, the estimated recovery rate of mineral products and the proposed treatment methods and disposal of ore and minerals recovered)												

Appendix No. 3	Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on the environment)
Appendix No. 4	Details of expected infrastructure requirements
Appendix No. 5	Proposals for employment and training of citizens of Zambia
Appendix No. 6	Tax clearance certificate (issued under Income Tax Act (Cap. 323))
Appendix No. 7	Any further information which the Director of Geological Survey may require
Appendix No. 8	Documentation on title to land or written consent of legal occupier of land
Application Fee	
<p>.....</p> <p>Applicant/ Authorised Representative</p> <p>..... Date</p> <p>.....</p> <p>Officer</p>	
FOR OFFICIAL USE ONLY	
Received by:	<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;">OFFICIAL STAMP</div>
Officer	
Amount Received:	
Serial No. of Application:	

Form III
(Regulation 8(2))
(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINERAL TRADING PERMIT						
		Shaded fields for official use only		Permit Code		
				Date and Time		
Information Required		Information Provided				√
1	(a) Name(s) of applicant(s)					
	(b) Type of applicant	Individual	Company ¹	Co-operative	Partnership	
2	(a) Date of Birth (dd/mm/yyyy)					
	(b) Nationality					
	(c) Identity Card (National Registration Card No. or Passport No.) – Attach certified copies					
3	Applicant's physical and postal addresses					
	Tel:					
	Mobile No.:					
	Fax:					
	Email:					
4	(a) Class of minerals	Gemstones				
		Precious metals				
		Base Metals				
		Industrial minerals				
	(b) Type of minerals					
5	Applicant's bank details					
	(a) Name and address of bankers					
	(b) Tel:					
	(c) Mobile No.:					
	(d) Fax No.:					
	(e) Email					
	(f) Company/Cooperative Registration No. (Attach certified copies of registration certificate)					
	(g) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.	

(g) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.
(h) Directors	Directors	Shares held	Nationality	NRC/Passport No.
(i) Company Chief Executive Officer	Name		Nationality	
(j) Company Secretary	Name		Nationality	
7 Appendices				
Appendix No. 1		Certified copy of National Registration Card or Passport		
Appendix No. 2		Bank account statement or letter from bank		
Appendix No. 3		Copies of proposed business plan and capital outlay		
Appendix No. 4		Tax Clearance Certificate		
I do hereby declare that the information given above is true and correct to the best of my knowledge and belief ³ .				
..... Signature	 Date		
FOR OFFICIAL USE ONLY				
Received by: Officer				OFFICAL STAMP
Amount Received:				
Serial No. of Application:				

Note:

1. Company refers to a citizen-influenced company, citizen-owned company or a citizen-empowered company
2. List the specific minerals that fall under the preferred class in paragraph 4(a) which you want to trade in.
3. Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio.



REPUBLIC OF ZAMBIA

Form IV
(Regulation 8 (4))
(To be completed in triplicate)

The Mines and Minerals of Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A GOLD PANNING CERTIFICATE				
		Shaded fields for official use only	Licence Code	
			Date and Time	
1	(a) Names of applicant(s)			
		Individual		Co-operative
2	(a) Date of Birth (dd/mm/yyyy) (b) Nationality (c) Identity Card (National Registration Card No. or Passport No.) – Attach certified copies			
3	Applicant's physical and postal addresses Tel: Mobile No: Fax: Email:			
4	(a) Co-operative Registration No. (Attach certified copies of registration certificate)			
	(c) Shareholders (attach copies of identity cards and companies Form 2 issued under the Companies Act Cap 388)	Shareholder	Shares held	Nationality NRC/Passport No.
	(d) Directors	Directors	Shares held	Nationality NRC/Passport No.
5	Current Panning certificates or licences held in Zambia, if any, by applicant	Panning Certificate or Licences	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)

Area applied for (latitudes/longitudes format, Degree-minute-second format, Arc 1950)														
(a) Location			Province					District						
			Locality											
(b) Size (in sq. Km)														
(c) Coordinates (use additional sheet to indicate coordinates if required)			Latitudes (S)					Longitudes (E)						
			D	D	M	M	S	S	D	D	M	M	S	S
Corner	1													
Corner	2													
Corner	3													
Corner	4													
Corner	5													
Corner	6													
Corner	7													
Corner	8													
Corner	9													
Corner	10													
Corner	11													
Corner	12													
1	Appendix													
2	1.	Programme of intended panning operations												
	2.	Environmental Commitment Plan												
	3.	Copies of Directors/Shareholders Identities												
	4.	Tax clearance certificate												
Application fee:														
.....														
Applicant/Authorised Representative										Date				
.....														
Officer														
FOR OFFICIAL USE ONLY														
Received by:										<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> OFFICIAL STAMP </div>				
Officer														
Amount Received:														
Serial No. of Application:														



Form V
(Regulation 9)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No.11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR PERMIT TO IMPORT/EXPORT* MINERAL, ORE OR MINERAL PRODUCT							
Information Required		Shaded fields for official use only			Permit Code		
					Date and Time		
Information Required		Information Provided					√
1	Type of minerals or mineral products to be *exported/imported						
2	(a) Name(s) of applicant(s)						
	(b) Type of applicant (Artisan and Mining only)	Individual	Company	Co-operative	Partnership		
3	(a) Date of Birth (dd/mm/yyyy)						
	(b) Nationality						
	(c) Identity Card (National Registration Card No. or Passport No.) – Attach copies						
4	Applicant's physical and postal addresses						
	Tel:						
	Mobile No.:						
	Fax:						
	Email:						
5	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)						
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.		
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.		
	(d) Company Chief Executive Officer	Name		Nationality			
(e) Company Secretary	Name		Nationality				
6	(a) Destination of *export/import						
	(b) Name and address of recipient						
7	Quantity, quality and value of mineral commodity to be exported/imported						
8	Port of entrance/exit						

9	Appendices	
	(a) Export Permit	
	Appendix No. 1	Mineral analysis certificate/mineral valuation report issued by the Director of Geological Survey
	Appendix No. 2	Verification report on mineral royalty payment from the Commissioner-General
	Appendix No. 3	Security clearance by the Zambia Police Force
	Appendix No. 4	Copies of certified production returns made by the holder
	Appendix No. 5	Mining right, non-mining right or permit No.
	(b) Import Permit	
	Appendix No. 1	Supply contract documents
	Appendix No. 2	Mineral analysis report
	Appendix No. 3	Company registration details
	Appendix No. 4	Proof of purchase and clearance from country or export (should include copies of receipt and export documentation)
	Application Fee	
	<p>.....</p> <p>.....</p> <p>Applicant/Authorised Representative Date</p> <p>.....</p> <p>.....</p> <p>Officer Date</p>	
<p>FOR OFFICIAL USE ONLY</p> <p>Received by: Officer</p> <p>Amount Received:</p> <p>Serial No. of Application:</p> <div style="border: 1px solid black; width: 100px; height: 30px; margin-left: auto; margin-right: 0; text-align: center; padding: 2px;">OFFICIAL STAMP</div>		

Note* - Delete whichever is not applicable



Form VI
(Regulation 10)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR CONSENT TO ACQUIRE/STORE/TRANSPORT/SELL/EXPORT RADIOACTIVE MINERALS						
	Shaded fields for official use only	Authorisation Code				
		Date and Time				
<i>Information Required</i>		<i>Information Provided</i>				✓
1	Type of minerals or mineral products					
2	Period					
3	(a) Name(s) of applicant(s)					
	(b) Type of applicant	Individual	Company	Co-operative	Partnership	
4	(a) Date of Birth (dd/mm/yyyy)					
	(b) Nationality					
	(c) Identity Card (National Registration Card No. or Passport No.) – Attach copies					
5	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:					
6	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)					
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.	
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.	
(d) Company Chief Executive Officer	Name		Nationality			
(e) Company Secretary	Name		Nationality			
7	(a) Sources of mineral commodity					
	(b) Transportation method					
	(c) Export recipient and full address					
	(d) Export quantity					
	(e) Export Value					
	(f) Radiation Protection Officer (attach appointment documentation)					

8	(a) Destination of mineral commodity				
	(b) Name and address of recipient /buyer				
	(c) Quantity and value of mineral commodity				
	(d) Intended use of recipient/buyer				
9	Appendices				
	Appendix No. 1	Copy of licence from Radiation Protection Authority (acquisition, storage, transportation or export)			
	Appendix No. 2	Security measures (endorsed by Inspector General of Police and the Office of the President)			
	Appendix No. 3	Company registration details			
	Appendix No. 4	Mineral analysis certificate by Director of Geological Survey			
	Appendix No. 5	Details of packaging (for both export and import)			
Application Fee					
I declare that the recipient of this export consignment shall not under any circumstances, return any arising nuclear fuel waste to Zambia					
..... Applicant/Authorised Representative		 Date		
..... Officer		 Date		
FOR OFFICIAL USE ONLY					
Received by:					OFFICIAL STAMP
Officer					
Amount Received:					
Serial No. of Application:					

**Note: Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio*



Form VII
(Regulation 11)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR MINERAL ANALYSIS CERTIFICATE/VALUATION CERTIFICATE *					
	Shaded fields for official use only	Certificate Code			
		Date and Time			
Information Required	Information Provided				
1	(a) Name(s) of applicant(s)				✓
	(b) Type of applicant (Artisan and Mining only)	Individual	Co-operative	Company	
2	(a) Date of Birth (dd/mm/yyyy) (b) Nationality (c) Identity Card (National Registration Card No. or Passport No.) – Attach copies				
3	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:				
4	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate) (b) Mining right or non-mining right No.				
5	Minerals or mineral products to be analysed				
6	Analysis requested				
7	Source of minerals				
Application Fee					
..... Applicant/Authorised Representative	 Date			
..... Officer	 Date			
FOR OFFICIAL USE ONLY					
Received by:			OFFICIAL STAMP
Amount Received:			
Serial No. of Application:			

Note *Delete whichever is not applicable.

Form VIII
(Regulation 12)



REPUBLIC OF ZAMBIAT
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations,
2016

FORM FOR AUTHORISATION OF AGENT

- (1) Here insert the full names of the person authorising, name of the company and company registration No. and the name of the authorised company representative. I/We (1)..... have appointed (2) of to act as my/our agent for (3)and request that all notices, requisition and communications relating thereto may be sent to such agent at the above address.
- (2) Here insert name and physical and postal address of agent. I/We here hereby revoke all previous authorisations, if any, in respect of the same matter or proceedings.
- (3) Here state the particular matter or proceeding for which the agent is appointed, giving the reference number if known. I/We hereby declare that I am/we are a (4).....
 Dated this day of 20
- (4) Here state nationality of principal. (5)
- (5) To be signed by person appointing the agent. Signature of principalAddress (6)Dated this
- (6) Here insert the full trade or business address of principal. (7).....Signature of agentAppendix
- (7) To be signed by agent. (8)*
- (8) Here attach a certified copy of the identity card of mine person or registration certificate of the company appointing the agent. (a)Certified copy of identity card
 (b)Certified copies of registration certificate *Note:
 Delete whichever is not applicable



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations,
2016
LETTER OF OFFER

To (1).....

(1) Here insert the full names and address of applicant.

IN THE MATTER OF (2)

you are hereby notified that your application for

(2) Here insert the reference No. of the application.
Here insert type of application.

(3)..... has been accepted on the following conditions¹:

(a).....

(b).....

(c).....

(d).....

Dated thisday of20.....

.....
Director of Mining Cadastre

NOTES:

- 1. Condition may be attached on a separate sheet, if necessary

Form X
(Regulations 16,
23(2), 24)



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations,
2016
NOTICE OF REJECTION OF APPLICATION

- (1) Here insert the full names and address of applicant.
- (2) Here insert the reference No. of the application.
- (3) Here insert type of application

To (1)

IN THE MATTER OF (2) you

are hereby notified that your application for (3).....

has been rejected on the following grounds:

(a).....

(b).....

(c).....

(d).....

Dated this.....day of20.....

.....
*(Signature of Minister/Director of Mining Cadastre/Director of Geological Survey/Director of Mines Safety/Director of Mines)**

NOTE* - Delete whichever is not applicable



Form XI
(Regulation 18(1))
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION TO AMEND A MINING RIGHT OR MINERAL PROCESSING LICENCE										
		Shaded fields for official use only				Certificate Code				
						Date and Time				
Information Required		Information Provided								√
1.	Type of Mining Right and Licence No.									
2.	Holder									
3.	Expiry date									
4.	(a) Name(s) of applicant(s)									
	(b) Type of applicant	Individual	Company	Co-operative	Partnership					
5.	(a) Date of Birth (dd/mm/yyyy)									
	(b) Nationality									
	(c) Identity Card (NRC No.) or Passport No. (attach copies)									
6.	Applicant's Address									
	(a) Tel:									
	(b) Fax:									
	(c) E-mail:									
7.	(a) Company Registration No. (Attach copies of certificate)									
	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)					
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.					
9.	(d) Company Chief Executive Officer	Name		Nationality						
	(e) Company Secretary	Name		Nationality						
	8. Proposed amendment									
	(a)									
	(b)									
	(c)									
	(d)									
	(e)									
	(f)									
9.	Appendices									
	Appendix No. 1	Justification for proposed amendments								
	Appendix No. 2	Record of company board meeting and resolutions								
	Appendix No. 3	Commitment plan to review the environmental management plan								

Application Fee	
..... Applicant Date
..... Officer Date
FOR OFFICIAL USE ONLY	
Received by: Officer	<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;">OFFICAL STAMP</div>
Amount Received:	
Serial No. of Application:	



Form XII
(Regulation 19)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

NOTICE OF CHANGE IN PARTICULARS

Licence No:..... Holder:.....

Notice is hereby given that the following particulars have changed:

Current Details as Registered	Details of Particular Change
1. Business Name:	1. Business Name:
2. Business Address or Telephone numbers:	2. Business Address or Telephone numbers:
3. Change in Directors:	3. Change in Directors:

I,.....,do hereby certify that the information shown above is true and correct.

Signature :.....

Designation:.....

Date :.....

10.	Currently held mining rights or licences in Zambia, if any, by subsidiary companies	Mining right or Licence (Type & Licence No.)	Location	Area (km ²)																																																																																																																																														
		(a)	(a)	(a)																																																																																																																																														
		(b)	(b)	(b)																																																																																																																																														
		(c)	(c)	(c)																																																																																																																																														
		(d)	(d)	(d)																																																																																																																																														
		(e)	(e)	(e)																																																																																																																																														
		(f)	(f)	(f)																																																																																																																																														
11.	Currently held mining rights or licences in other countries by applicant	Mining right or Licence (Type & Licence No.)	Location	Area (km ²)																																																																																																																																														
		(a)	(a)	(a)																																																																																																																																														
		(b)	(b)	(b)																																																																																																																																														
		(c)	(c)	(c)																																																																																																																																														
		(d)	(d)	(d)																																																																																																																																														
		(e)	(e)	(e)																																																																																																																																														
		(f)	(f)	(f)																																																																																																																																														
12.	Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015 or any other law within or outside Zambia? If yes specify details:..... Nature of offence:..... Date of Conviction:..... Sentence:.....																																																																																																																																																	
13.	Have you ever applied for a mining right or licence in Zambia? If yes, please give details: <table border="1"> <thead> <tr> <th>Mining right or licence applied for</th> <th>Location</th> <th>Area km²</th> <th>Date of application</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>				Mining right or licence applied for	Location	Area km ²	Date of application																																																																																																																																										
Mining right or licence applied for	Location	Area km ²	Date of application																																																																																																																																															
14.	Area applied for renewal(all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950) (a) Location <table border="1"> <thead> <tr> <th>Province</th> <th>District</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table> Locality <table border="1"> <tbody> <tr> <td> </td> </tr> <tr> <td> </td> </tr> </tbody> </table>				Province	District																																																																																																																																												
Province	District																																																																																																																																																	
	(b) Size (in Sq. Km.) *Exploration licence area- compulsory relinquishment of at least 50% of original area *Large-scale Mining licence area- not exceeding 250km ² *Small-scale mining licence area - not exceeding 4km ² *Artisan's mining right area- not exceeding 0.0668km ²																																																																																																																																																	
	(c) Coordinates <table border="1"> <thead> <tr> <th rowspan="2"></th> <th colspan="6">Latitudes (S)</th> <th colspan="6">Longitudes (E)</th> </tr> <tr> <th>D</th> <th>D</th> <th>M</th> <th>M</th> <th>S</th> <th>S</th> <th>D</th> <th>D</th> <th>M</th> <th>M</th> <th>S</th> <th>S</th> </tr> </thead> <tbody> <tr> <td>Corner 1</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 2</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 3</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 4</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 5</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 6</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 7</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 8</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>Corner 9</td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </tbody> </table>					Latitudes (S)						Longitudes (E)						D	D	M	M	S	S	D	D	M	M	S	S	Corner 1													Corner 2													Corner 3													Corner 4													Corner 5													Corner 6													Corner 7													Corner 8													Corner 9												
	Latitudes (S)						Longitudes (E)																																																																																																																																											
	D	D	M	M	S	S	D	D	M	M	S	S																																																																																																																																						
Corner 1																																																																																																																																																		
Corner 2																																																																																																																																																		
Corner 3																																																																																																																																																		
Corner 4																																																																																																																																																		
Corner 5																																																																																																																																																		
Corner 6																																																																																																																																																		
Corner 7																																																																																																																																																		
Corner 8																																																																																																																																																		
Corner 9																																																																																																																																																		

15.	Appendices		
	(a) Exploration		
	Appendix No. 1	Report on execution of approved programme of exploration operations including results in digital format on work done	
	Appendix No. 2	Approved Environmental Project Brief	
	Appendix No. 3	Proposed programme of exploration operations for renewal period (should include an indication of the investment commitment).	
	Appendix No. 4	Proposals for employment and training of citizens of Zambia.	
	Appendix No. 5	Proposals for promotion of local business development.	
	Appendix No. 6	Tax clearance certificate	
	Appendix No. 7	Any further information which the Mining Licencing Committee may require	
	(b) Large-Scale Mining		
	Appendix No. 1	Report on execution of approved programme of mining operations	
	Appendix No. 2	A latest statement of proved, estimated and inferred mineral deposits in the area over which licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions, expected changes in mining and treatment methods, expected change in mining activities and estimated life of a mine)	
	Appendix No. 3	Proposed programme for exploration and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)	
	Appendix No. 4	Approved Environmental Management Plan	
	Appendix No. 5	Details of expected infrastructure requirements	
	Appendix No. 6	Proposals for employment and training of citizens of Zambia	
	Appendix No. 7	Proposals for promotion of local business development	
	Appendix No. 8	Tax clearance certificate issued under Income Tax Act (Cap. 323)	
	Appendix No. 9	Plan of proposed mining area during renewal period	
	Appendix No. 10	Execution report of plan for cutting, polishing and faceting of gemstones in Zambia during previous tenure; and plan for cutting, polishing and faceting gemstones in Zambia during renewal period	
	Appendix No. 11	Any further information which the Mining Licencing Committee may require	
	(c) Small-Scale Mining		
	Appendix No. 1	Report on the development of a mining area during previous tenure	
	Appendix No. 2	Proposed programme of mining operations (should include forecast of investment, estimated recovery rate of ore and applicants proposal for treatment and disposal of ore)	
	Appendix No. 3	Description of the mineral deposit in area over which licence renewal is sought	
	Appendix No. 4	Statement of duration for which licence is sought (should not exceed ten years)	
	Appendix No. 5	Tax clearance certificate	
	Appendix No. 6	Approved Environmental Management Plan	
	Appendix No. 7	Any further information which the Mining Licencing Committee may require	
	(d) Artisanal Mining (by citizens of Zambia)		
	Appendix No. 1	Report on the development of mining area during previous tenure	
	Appendix No. 2	Approved Environmental Management Plan	
	Appendix No. 3	Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in national interest)	

(c) Radioactive Minerals- In addition to requirements at (b) above				
Appendix No. 1	Plan and description of mine, processing facilities and proposed disposal sites			
Appendix No. 2	Copy of licence issued under the Ionizing Radiation Protection Act, No. 16 of 2005			
Appendix No. 3	Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation			
Appendix No. 4	Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation			
Appendix No. 5	Proposed programme for selecting, using and maintenance of personal protective equipment			
Appendix No. 6	Proposed training programme for workers in relation to radiation safety			
Appendix No. 7	Proposed ventilation and dust control methods and associated equipment			
Appendix No. 8	Proposed security measures during mining, processing, storage, transportation (including measures to alert the holder of acts of sabotage)			
Appendix No. 9	Storage, transportation and marketing arrangements in conformity with the Nuclear Non-Proliferation Treaty (NPT)			
Appendix No. 10	Any further information which the Director may require			
Application Fee				
..... Applicant	 Date		
..... Officer	 Date		
FOR OFFICIAL USE ONLY				
Received by:		<div style="border: 1px solid black; padding: 5px; display: inline-block;">OFFICAL STAMP</div>		
Officer				
Amount Received:				
Serial No. of Application:				



REPUBLIC OF ZAMBIA

Form XIV
(Regulation 21(4))
(To be completed in triplicate)

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR RENEWAL OF A MINERAL PROCESSING LICENCE										
Information Required		Shaded fields for official use only			Certificate Code		Date and Time			
Information Required		Information Provided								√
1.	Licence No.									
2.	Minerals									
3.	Renewal period									
4.	(a) Name(s) of applicant(s)									
	(b) Type of applicant	Individual	Company	Co-operative	Partnership					
5.	(a) Date of Birth (dd/mm/yyyy)									
	(b) Nationality									
	(c) Identity card (NRC No.) or Passport No.-Attach copies									
6.	Applicant's Address									
	(a) Tel:									
	(b) Fax:									
	(c) E-mail:									
7.	(a) Company Registration No. (Attach copies of certificate of registration issued under the Companies Act)									
	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)					
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.					
	(d) Company Chief Executive Officer	Name			Nationality					
(e) Company Secretary		Name			Nationality					
8.	Current Mining Rights or licences in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location		Area (km ²)					
		(a)	(a)		(a)					
		(b)	(b)		(b)					
		(c)	(c)		(c)					
		(d)	(d)		(d)					
		(e)	(e)		(e)					
		(f)	(f)		(f)					

14. Area applied for renewal (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950)													
(a) Location		Province				District							
		Locality											
(b) Coordinates		Latitudes (S)						Longitudes (E)					
		D	D	M	M	S	S	D	D	M	M	S	S
Corner	1												
Corner	2												
Corner	3												
Corner	4												
Corner	5												
Corner	6												
Corner	7												
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												
14. Appendices													
Appendix No. 1		Description and plan of surrounding developments											
Appendix No. 2		Proposed programme for mineral processing operations for renewal period (should include a forecast of capital investment, proposed plant capacity, the estimated recovery rate of mineral products, and the proposed treatment methods and disposal of ore and minerals recovered)											
Appendix No. 3		Report on execution of Environmental Management Plan (in accordance with the requirements of the Environmental Management Act No.12 of 2011)											
Appendix No. 4		Details of expected infrastructure requirements during renewal period											
Appendix No. 5		Proposals for employment and training of citizens of Zambia during renewal period											
Appendix No. 6		Tax clearance certificate (issued under Income Tax Act (Cap. 323))											
Appendix No. 7		Any further information which the Director of Mining Cadastre may require											
Application Fee													
.....												
Applicant		Date											
.....												
Officer		Date											
FOR OFFICIAL USE ONLY													
Received by:												OFFICIAL STAMP	
Officer													
Amount Received:													
Serial No. of Application:													



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Mineral Development (General) Regulations, 2016

Form XV
(Regulation 26 (1))
(To be completed in triplicate)

APPLICATION TO ALTER AREA UNDER A MINING RIGHT										
		Shaded fields for official use only				Certificate Code				
						Date and Time				
Information Required		Information Provided								√
1.	Mining right or licence No.									
2.	Reasons for alteration (attach additional sheet if necessary)									
3.	(a) Name(s) of applicant(s)									
	(b) Type of applicant	Individual	Company	Co-operative	Partnership					
4.	(a) Date of Birth (dd/mm/yyyy)									
	(b) Nationality									
	(c) Identity Card (NRC No.) or Passport No. (attach copies)									
5.	Applicant's Address									
	(a) Tel:									
	(b) Fax:									
6.	(a) Company Registration No. (Attach copies of certificate of registration issued under the Companies Act)									
	(b) Company Secretary	Name				Nationality				
7.	Mineral(s)									
8.	(a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015 or any other law within or outside Zambia? If yes, specify details:..... Nature of offence:..... Date of Conviction:..... Sentence:.....									
	(b) Have you been declared bankrupt, or if a company, have you been in liquidation? If yes, specify details:									

9. Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950)													
(a) Location		Province				District							
		Locality											
(b) Size of current area (in Sq. km)													
(c) Coordinates		Latitudes (S)						Longitudes (E)					
		D	D	M	M	S	S	D	D	M	M	S	S
Corner	1												
Corner	2												
Corner	3												
Corner	4												
Corner	5												
Corner	6												
Corner	7												
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												
(d) Area proposed for alteration (in Sq.km)													
(a) Coordinates of altered area		Latitudes (S)						Longitudes (E)					
		D	D	M	M	S	S	D	D	M	M	S	S
Corner	1												
Corner	2												
Corner	3												
Corner	4												
Corner	5												
Corner	6												
Corner	7												
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												
10. Appendices (Adjust proposals, plans, programs in accordance with proposed area alteration)													
(a) Large-Scale Exploration													
Appendix No. 1		Approved Environmental management plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)											
Appendix No. 2		Proposed programme of exploration operations (should include an indication of the investment commitment)											
Appendix No. 3		Proposals for employment and training of citizens of Zambia.											
Appendix No. 4		Proposals for promotion of local business development.											
Appendix No. 5		Tax clearance certificate issued under Income Tax Act (Cap. 323)											
Appendix No. 6		Any further information which the Mining Licencing Committee may require.											
(b) Large-Scale Mining													
Appendix No. 1		A comprehensive statement of the mineral deposits in the area over which the licence is sought (should include details of all known minerals proved, estimated or inferred, ore											
		resources and mining conditions)											
Appendix No. 2		Proposed programme for (*prospecting) and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)											
Appendix No. 3		Approved Environmental Management Plan											
Appendix No. 4		Details of expected infrastructure requirements											
Appendix No. 5		Proposals for employment and training of citizens of Zambia											
Appendix No. 6		Proposals for promotion of local business development											
Appendix No. 7		Tax clearance certificate (issued under Income Tax Act (Cap. 323)											

Appendix No. 8	Plan of proposed mining area		
Appendix No. 9	Any further information which the Mining Licencing Committee may require.		
(c) Small-Scale Exploration			
Appendix No. 1	Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)		
Appendix No. 2	Proposed programme of exploration operations (should include an indication of the investment commitment)		
Appendix No. 3	Tax clearance certificate		
(d) Small-Scale Mining			
Appendix No. 1	Proposed programme of mining operations (should include forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)		
Appendix No. 2	Description of the mineral deposit in area over which the licence is sought		
Appendix No. 3	Statement of duration for which licence is sought (should not exceed ten years)		
Appendix No. 4	Tax clearance certificate issued under Income Tax Act (Cap. 323)		
Appendix No. 5	Approved Environmental Management Plan (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)		
Appendix No. 6	Any further information which the Mining Licencing Committee may require		
(e) Artisanal Mining			
Appendix No. 1	Approved Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)		
Appendix No. 2	Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest)		
Application Fee			
..... Applicant	 Date	
..... Officer	 Date	
FOR OFFICIAL USE ONLY			
Received by:		<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;"> OFFICIAL STAMP </div>	
Officer			
Amount Received:			
Serial No. of Application:			



REPUBLIC OF ZAMBIA

Form XVI
(Regulation 29 (1))
(To be completed in triplicate)

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR CONSENT TO TRANSFER A MINING RIGHT, MINERAL PROCESSING LICENCE, OR REGISTER TRANSFER OF SHARES IN, OR TRANSFER CONTROL OF, COMPANY HOLDING MINING RIGHT OR MINERAL PROCESSING LICENCE					
	Shaded fields for official use only	Certificate Code			
		Date and Time			
<i>Information Required</i>	<i>Information Provided</i>				
1. Mining Right or Licence No.					
2. Current Holder					
3. Name of proposed assignee					
4. (a) Name(s) of applicant(s)					
(b) Type of applicant	Individual	Company	Co-operative	Partnership	
5. (a) Date of Birth (dd/mm/yyyy)					
(b) Nationality					
(c) Identity Card (NRC No.) or Passport No. (attach copies)					
6. Applicant's Address					
(a) Tel:					
(b) Fax:					
(c) E-mail:					
7. (a) Company Registration No. (Attach copies of certificate of registration issued under the Companies Act, Cap. 388)					
(b) Current shareholders (Attach copies of certificate of registration issued under the Companies Act, Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)	
(c) Directors	Director	Shares held	Nationality	NRC/Passport No.	
(d) Company Chief Executive Officer	Name		Nationality		
(e) Company Secretary	Name		Nationality		
8. Appendices					
Appendix No. 1	Minutes of company board meeting				
Appendix No. 2	Resolution of the company's board meeting				
Appendix No. 3	Reasons for transferring or assigning mining right, mineral processing licence, share or shares or control of company which holds a mining right or mineral processing licence				

	Appendix No. 4	Application form for a mining right completed by proposed assignee		
	Appendix No. 5	Tax clearance certificate by holder of mining right or mineral processing licence		
Application Fee				
<p>.....</p> <p style="text-align: center;">Applicant</p> <p style="text-align: right;">.....</p> <p style="text-align: right;">Date</p> <p>.....</p> <p style="text-align: center;">Officer</p> <p style="text-align: right;">.....</p> <p style="text-align: right;">Date</p>				
<p>FOR OFFICIAL USE ONLY</p> <p>Received by: Officer</p> <p>Amount Received:</p> <p>Serial No. of Application:</p> <div style="border: 1px solid black; width: 100px; height: 40px; margin-left: auto; margin-right: auto; text-align: center; padding: 5px;"> OFFICAL STAMP </div>				



REPUBLIC OF ZAMBIA

Form XVII
(Regulation 31)
(To be completed in triplicate)

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR ABANDONMENT CERTIFICATE										
		Shaded fields for official use only				Certificate Code				
						Date and Time				
Information Required		Information Provided								✓
1.	Mining right or Licence No.									
2.	Minerals									
3.	(a) Proposed abandonment date									
	(b) Name(s) of applicant(s)									
	(c) Type of applicant	Individual	Company	Co-operative	Partnership					
4.	(a) Date of Birth (dd/mm/yyyy)									
	(b) Nationality									
	(c) Identity Card (NRC No. or Passport No.)- (attach copies)									
5.	Applicant's Address									
	(a) Tel:									
	(b) Fax:									
6.	(a) Company Registration No. (Attach copies of certificate of registration issued under the Companies Act.)									
	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)					
(c) Directors	Director	Shares held	Nationality	NRC/Passport No.						
(d) Company Chief Executive Officer	Name			Nationality						
	(e) Company Secretary	Name			Nationality					
7.	Area applied for abandonment (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950)									
	(a) Location	Province				District				
		Locality								
(b) Original size (in Sq.km)										
(c) Proposed abandonment area (in Sq. km)										
(d) Proposed date of abandonment										

(e) Coordinates	Latitudes (S)						Longitudes (E)						
		D	D	M	M	S	S	D	D	M	M	S	S
Corner	1												
Corner	2												
Corner	3												
Corner	4												
Corner	5												
Corner	6												
Corner	7												
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												

15.	Appendices	
	Appendix No. 1	Board Resolution
	Appendix No. 2	Report on execution of programme of operation.
	Appendix No. 3	A copy of Closure Certificate granted by Director Mines Safety
	Appendix No. 4	The receipt of payment of the application fees
	Appendix No. 5	Company registration details

Application Fee

.....

Applicant

Date

.....

Officer

Date

FOR OFFICIAL USE ONLY

Received by:

Officer

Amount Received:

Serial No. of Application:

OFFICIAL
STAMP



Form XVIII
(Regulation 17(1))

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

LICENCE NO.....

EXPLORATION LICENCE

(Section 23 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address.....

The Exploration area shall be the area described in the Schedule and annexed hereto and bordered on the Plan.

The licence relates to the following minerals

The licence relates to the following level of operation

The licence is granted for a period of commencing on the day of, 20.....

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Exploration Licence has this day of, 20..... been registered in the Register.

.....
Director of Mining Cadastre



Form XIX
(Regulation 17(1))

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

LICENCE NO.

MINING LICENCE

(Section 32 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address

The mining areas shall be the area described in the Schedule and annexed hereto and bordered on the Plan.

The licence relates to the following minerals

The licence relates to the following level of mining operations

The licence is granted for a period of commencing on the day of, 20.....

The conditions of grant of the right are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Mining Licence has this day of, 20..... been registered in the Register.

.....
Director of Mining Cadastre

Renewals and Amendments

Date of Renewal or Amendment	Details of Renewal or Amendment	Date of Registration and Registration No.	Signature of Director

Form XX
(Regulation 17(1))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

LICENCE NO.

MINERAL PROCESSING LICENCE

(Section 40 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name

Address

The mineral processing area shall be the area described in the Schedule and annexed hereto and bordered on the Plan.

The licence relates to the following minerals

The licence is granted for a period of commencing on the day of, 20.....

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at this day of

.....

Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Mineral Processing Licence has this day of, 20..... been registered in the Register.

.....

Director of Mining Cadastre

Renewals and Amendments

Date of Renewal or Amendment	Details of Renewal or Amendment	Date of Registration and Registration No.	Signature of Director

Form XXI
(Regulation 17(2))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

PERMIT No.

MINERAL TRADING PERMIT

(Section 45 (2) of the Mines and Minerals Development Act, No. 11 of 2015)

Name of Holder

Address

The permit relates to the following class of minerals

The permit relates to the following minerals:

The certificate is valid for a period of one year commencing on theday of
....., 20.....

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mines

ENDORSEMENT OF REGISTRATION

This Mineral Trading Permit has this day of, 20..... been
registered in the Register.

.....
Director of Mines

Form XXII
(Regulation 17(2))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

GOLD PANNING CERTIFICATE

(Section 42 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address.....

The panning areas shall be the area described in the Schedule and annexed hereto and bordered on the Plan.

The certificate relates to Gold Panning operations only.

The certificate is granted for a period of commencing on the.....day of, 20.....

The conditions of grant of the right are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mines

ENDORSEMENT OF REGISTRATION

This Gold Panning Certificate has this day of, 20..... been registered in the Register.

.....
Director of Mines



Form XXIII
(Regulation 17(2))

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

PERMIT NO.

MINERAL IMPORT PERMIT

(Section 47 of the Mines and Minerals Development Act, No. 11 of 2015)

Importer's name
Address.....

The permit relates to the following minerals or mineral products (or as per attached
schedule).....
valued atand being imported from:
.....
.....

The permit is granted for a period of commencing on theday
of, 20.....

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mines

ENDORSEMENT OF REGISTRATION

This Mineral Import Permit has this day of, 20.....
been registered in the Register.

.....
Director of Mines

Form XXIV
(Regulation 17(2))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

PERMIT NO.

MINERAL EXPORT PERMIT

(Section 47 of the Mines and Minerals Development Act, No. 11 of 2015)

Exporter's name
Address

The permit relates to the following minerals or minerals products (or as per attached schedule)
.....
valued at and being exported to
.....

The permit is granted for a period of commencing on theday of
....., 20.....and is valid for
.....shipments.

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mines

ENDORSEMENT OF REGISTRATION

This Mineral Export Permit has this day of, 20.....
been registered in the Register.

.....
Director of Mines

Form XXV
(Regulation 17(2))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

AUTHORISATION NO.

**AUTHORISATION TO ACQUIRE/STORE/TRANSPORT/SELL/IMPORT/EXPORT*
RADIOACTIVE MINERALS**

(Section 50 of the Mines and Minerals Development Act, No. 11 of 2015)

Name of Authorisation holder.....
Address.....

Radiation Protection Authority Licence No.....

The authorisation relates to the following minerals or minerals products
valued atand being procured from:
.....
.....

The authorisation is granted for a period of commencing on the
..... day ofand is valid for
shipment for the purpose of
The conditions of grant of the authorisation are as shown in the Annexures attached hereto.

Issued at this day of

.....
Minister

ENDORSEMENT OF REGISTRATION

This authorisation has this day of,
20..... been registered in the Register.

.....
Minister

*Delete whichever is not applicable



Form XXVI
(Regulation 17(2))

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

CERTIFICATE NO.

MINERAL ANALYSIS CERTIFICATE

(Section 51 of the Mines and Minerals Development Act, No. 11. of 2015)

Client's name
Mining Right or Licence No. (where applicable).....
Address.....

The certificate relates to minerals or mineral products analysed as follows (or as per attached schedule):

		<i>PARAMETERS</i>	
<i>Item No.</i>	<i>Description</i>		<i>Analytical Method</i>
1.			
2.			
3.			
4.			
5.			
6.			

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

ANALYSED BY: NAME:..... VERIFIED BY: NAME:.....

POST:..... POST:.....

SIGNATURE:..... SIGNATURE:.....

DATE:..... DATE:.....

Issued at this day of

.....
Director of Geological Survey

ENDORSEMENT OF REGISTRATION

This Mineral Analysis Certificate has this day of20.....
been registered in the Register.

.....
Director of Geological Survey



Form XXVII
(Regulation 17(2))

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

CERTIFICATE NO.....

VALUATION CERTIFICATE

(Section 51 of the Mines and Minerals Development Act, No. 11 of 2015)

Name.....
Permit/Licence No.(where applicable).....
Address.....

The certificate relates to minerals or mineral products valued as follows (or as per attached schedule):

<i>NAME OF MINERAL</i>	<i>DESCRIPTION</i>	<i>GRADE</i>	<i>QUANTITY</i>	<i>COLOUR</i>	<i>UNITVALUE</i>	<i>TOTAL</i>

TOTAL WT: _____ TOTAL US\$: _____

The conditions of grant of the certificate are as shown in the Annexures attached hereto

EXAMINED BY: NAME:..... VERIFIED BY: NAME:
POST:..... POST:.....
SIGNATURE..... SIGNATURE:.....
DATE:..... DATE:.....

Issued at this day of

Director of Geological Survey

ENDORSEMENT OF REGISTRATION

This Valuation Certificate has this day of,20.....
been registered in the Register.

.....
Director of Geological Survey



Form XXVIII
(Regulation 32)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

CERTIFICATE NO.

CERTIFICATE OF ABANDONMENT

(Section 70 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address

The abandoned area shall be the area described in the Schedule and annexed hereto and bordered
..... on the Plan.

The area abandoned was in respect of the following minerals
The abandonment certificate takes effect commencing on the day of
....., 20..... and I hereby impose the attached conditions of
abandonment.

Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Certificate of Abandonment has this day of, 20.....
been registered in the Register.

.....
Director of Mining Cadastre

Form XXIX
(Regulation 58 (3))



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 20015
(Act No. 11 of 20015)

The Mines and Minerals Development (General) Regulations, 2016

PEGGING CERTIFICATE

Side	Distance (Metres)	Angles of Direction (deg, min, sec)	CO-ORDINATES SYSTEM: Geographical Coordinates Systems			
			Point	Latitude	Longitudes	Diagram No.
Approved By: Sign:..... Date:.....						
Scale:1:500000 SPACE FOR DIAGRAM						
FIGURE: REPRESENTS KILOMETRES SQUARED OF LAND FOR SITUATED IN..... DISTRICTSOF..... PROVINCE OF ZAMBIA.						
SURVEYOR: DATE: SIGN:						
EXAMMINER:SIGN:.....						
LICENCE NO:		BEACONS DESCRIPTION:				

Form XXX
(Regulation 30)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

CONSENT TO ASSIGN/TRANSFER A MINING RIGHT OR MINERAL PROCESSING LICENCE

(1)Here
Insert the
full names
and address
of applicant

To (1)
IN THE MATTER OF (2)you are
hereby notified that your application for (3)has
been accepted on the following *conditions:

(2)Here
Insert the
reference
No. of the
application

- (a).....
- (b).....
- (c).....
- (d).....

(3)Here
Insert type
of
application

Dated this Day of 20

.....

Minister

*Conditions may be attached on separate sheet, if necessary

Form XXXI
(Regulation 20)
(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

MONTHLY SALES RETURN

Name of Holder: Month:, 20.....
Address: Permit No.:

MINERAL SALES AND EXPORTS									
<i>Date</i>	<i>Name of Mineral</i>	<i>Name of Buyer</i>	<i>Weight (G/KG/T)</i>	<i>Local Sales (K)</i>	<i>Exports</i>				<i>Remarks</i>
					<i>Valuation Certificate No.</i>	<i>Valued by</i>	<i>Country of destination</i>	<i>Sale Price (US\$)</i>	
TOTAL									

I, do hereby sincerely declare that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:

To be submitted to: Director of Mines (2 copies)

Form XXXII
(Regulation 20)

(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

MONTHLY PURCHASES RETURN

MINERAL PURCHASES AND IMPORTS									
<i>Date</i>	<i>Type of Mineral</i>	<i>Mine own production</i>	<i>Name of seller</i>	<i>Weight (G/KG/T)</i>	<i>Local Purchases (K)</i>	<i>Imports</i>			<i>Remarks</i>
						<i>Valued by</i>	<i>Country of Origin</i>	<i>Sale Price (US\$)</i>	
TOTAL									

I, do hereby sincerely declare that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:

To be submitted to: Director of Mines (2 copies)

Form XXXIII
 (Regulation 50 (1))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA

**The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)**

The Mines and Minerals Development (General) Regulations, 2016

MINERAL PRODUCTION RETURN-NON-METALS

Name of Mine: Licence No:
 Name of Holder: Month:

Waste Raised (a)	Ore Raised (b)	Ore Treated (a)			Marketable Products Obtained		Percent Metal/x Mineral	Remarks(c)
		Tonnes	Source	Percent Metal/ Mineral	Name of Metal/ Mineral	Quantity (a)		

- (a) Unless otherwise stated all quantities are in tonnes.
- (b) Underground, opencast, stockpiles, old dumps, etc.
- (c) Please indicate briefly the reasons for significant changes in production.

I, do hereby certify that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature.....
 (Manager)

To be submitted to:

Director of Mines (2 copies)
 Director of Mines Safety (1 copy)

(Reverse of Form XXXIII)

CHANGES IN STOCK DURING THE MONTH

MONTH:, 20.....

Kind of products	Stocks at beginning of month (a)	Additions to stocks during month (a)		Disposal from stocks during month (a)	Stocks at end of month (a)
TOTAL					

NOTES:

- (a) Unless otherwise stated, all quantities are in grams for gemstones production and in tonnes for other minerals.

Form XXXIV
(Regulation 50 (1))
(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

MINERAL PRODUCTION RETURN-METALS

Name of Mine: Licence No:
Name of Holder: Month:

		Quantities	Metal/Mineral Grade (%)	Metal/Mineral Content (t)	Metal/Mineral Recovery (%)	Other Metal/Mineral contained (% g/t)
Underground Mining	Waste raised					
	Ore raised					
Open Pit Mining	Waste raised					
	Ore Raised					
Concentrator: ¹	Ore Treated					
	Retreatment of Dumps					
	Concentrate Produced					
Concentrator : ¹	Ore Treated					
	Retreatment of Dumps					
	Concentrate Produced					
Marketing of Concentrates	Conc. Stockpiled					
	Conc. despatched to ²					
	Conc. despatched to ²					
	Conc. despatched to ²					
	Conc. despatched to ²					
	Conc. Exported					
	Other					
	Total					

Origin of Products Smelted	Own Concentrate					
	Conc. purchased from ³					
	Conc. purchased from ³					
	Conc. purchased from ³					
	Conc. purchased from ³					
	Other local purchases					
	Concentrate Imported					
	Total Smelter Feed					
Smelter and Refinery Products	Intermediary product 1					
	Intermediary product 2					
	Slags Rejected					
Final Marketable Product						
Leaching SX EW Plants	Dumps & Tailings treated					
	Crushed ore treated					
	Other ores treated					
Final Marketable Product						
Total Metal/Mineral from own production						
Total Metal/Mineral from local purchases						
Total Metal/Mineral from Imports						
¹ Insert the name of the concentrator						
² Insert the name of the smelter where concentrates are dispatched						
³ Insert the name of the mine where concentrates were produced						

- (a) Unless otherwise stated all quantities are in tonnes.
 (b) Underground, opencast, stockpiles, old dumps, etc.
 (c) Please indicate briefly the reasons for significant changes in production.

I,..... do hereby certify that the information shown above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature.....
 (MANAGER)

To be submitted to:

Director of Mines (2 copies)
 Director of Mines Safety (1 copy)

(Reverse of Form XXXIV)

CHANGES IN STOCK DURING THE MONTH

MONTH:, 20.....

Kind of products	Stocks at beginning of month (a)	Additions to stocks during month (a)		Disposal from stocks during month (a)	Stocks at end of month (a)
TOTAL					

NOTES:

- (a) Unless otherwise stated, all quantities are in grams for gemstones production and in tonnes for other minerals.



Form XXXV
(Regulation 56)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016

NOTICE OF APPEAL

IN THE MATTER OF
(Application reference and matter of appeal)I hereby give notice of appeal against the decision of the Director of Mines/Director of Mining Cadastre/Director of Mines Safety/Director of Geological Survey/Mining Licensing Committee due to the following reasons:

- (a).....
- (b).....
- (c).....
- (d).....

Dated this day of 20.....

.....
Signature of Appellant

SECOND SCHEDULE

(Regulations 7 (1), 8 (1), 8 (2), 8 (4), 9, 10, 11, 15 (3), 18 (1) and 57)

PRESCRIBED FEES, AREA CHARGES AND MAXIMUM AREAS
FEES

TYPE OF MINING RIGHT OR APPLICATION	FEE UNITS
(1) Exploration Licence	
(a) Small-scale	3000
(b) Large-scale	10000
(2) Mining Licence	
(a) Artisanal	3000
(b) Small-scale	15000
(c) Large-scale	160000
(3) Transfer of Exploration Licence	
(a) Small-scale	15000
(b) Large-scale	160000
(4) Transfer of Mining Licence	
(a) Small-scale	15000
(b) Large-scale	160000
(5) Alteration of Exploration Licence	
(a) Small-scale	2500
(b) Large-scale	3000
(6) Alteration of Mining Licence	
(a) Artisanal	500
(b) Small-scale	2500
(c) Large-scale	3000

NON-MINING RIGHTS/OTHER CATEGORIES

LICENCE, CERTIFICATE OR PERMIT	FEE UNITS
Mineral Processing Licence	160000
Renewal of Mineral Processing Licence	160000
Transfer of Mineral Processing Licence	160000
Alteration of Mineral Processing Licence	3000
Mineral Trading Permit	7000
Renewal of Mineral Trading Permit	7000
Gold panning certificate	500
Mineral Import Permit	3500
Mineral Export Permit	750
Application for consent to acquire, store, transport or export radioactive minerals	3500
Mineral Analysis Certificate	750
Valuation Certificate	1000
Inspection of documents	2000
Replacement of licence, permit or certificate	3000

AREA CHARGES(FEE UNITS)

TYPE OF LICENCE	Year 1 – 4	Year 5 - 7	Year 8 -10	Year 11 and later years where applicable
Exploration Licence (per hectare per year)				
(a) Small-scale	2	5	-	
(b) Large-scale	4	12	16	
Mining Licence (per hectare per year)				
(a) Artisanal Mining	14	14	14	14
(b) Small-scale mining	28	28	28	28
(c) Large Scale Mining	56	56	56	56
Mineral Processing Licence(per hectare per year)	56	56	56	56
Excess exploration ground	22	22	22	22

PRESCRIBED MAXIMUM AREAS

TYPE OF MINING RIGHT OR LICENCE	MAXIMUM AREA		
	Square Kilometers	hectares	Cadastral units
Exploration Licence			
(a) Small-scale	10	1000	3000
(b) Large-scale	2000	200000	59880
Mining Licence			
(a) Artisanal	0.0668	6.68	2
(b) Small-scale	4	400	120
(c) Large scale	250	25000	7485

MINIMUM ANNUAL EXPLORATION EXPENDITURE PER HECTARE

Type of licence	Fee Units per hectare per year									
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10
Exploration licence	40	40	40	40	120	120	120	160	160	160

THIRD SCHEDULE
(Regulation 17 (3))

CONDITIONS OF LICENCES, CERTIFICATES, PERMITS AND AUTHORISATIONS

A. CONDITIONS OF GRANT OF A MINING LICENCE -LARGE-SCALE MINING

1. The holder shall—
 - (a) develop the mining area in accordance with the approved programme of mining operations;
 - (b) execute the environmental management plan;
 - (c) implement the local business development programme;
 - (d) employ and train Zambians in accordance with the approved program;
 - (e) demarcate the mining area and keep it demarcated;
 - (f) pay mineral royalty in accordance with the Mines and Minerals Development Act, No. 11 of 2015 and the Income Tax Act, Cap. 323;
 - (g) maintain at the holder's office complete and accurate technical and financial records of the mining operations;
 - (h) permit authorised officers, at any time, to inspect all records, mining and mineral processing operations;
 - (i) submit statutory reports and any other information concerning the mining or mineral processing operations;
 - (j) submit a copy of the annual audited financial statements within three months of the end of each financial year;
 - (k) submit reports on external supplies of ore, concentrates, tailings, slimes or any other mineral fed to the plant;
 - (l) provide current information on recovery from ores, mineral products, production costs and sales;
 - (m) conduct operations only upon meeting the requirements of the Environmental Management Act No. 12 of 2011;
 - (n) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2015;
 - (o) obtain appropriate insurance for all phases of the mining operations;
 - (p) give preference to Zambia products and services;
 - (q) submit a Pegging Certificate for approval within one hundred and eighty days of grant of licence; and
 - (r) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
2. Illegal mining and trade is not permitted in the licensed area.
3. The holder shall not enter into an agreement or transfer of the licence without the prior consent of the Minister.
4. The holder shall be liable for harm or damage caused by the mining or mineral processing operations and shall compensate a person to whom harm or damage is caused.
5. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
6. Other terms and conditions are as follows:

.....

.....

.....

B. CONDITIONS OF GRANT OF AN EXPLORATION LICENCE – LARGE-SCALE EXPLORATION

1. The holder shall—

- (a) pay the relevant taxes under the Income Tax Act, Cap. 323;
- (b) promote local business development;
- (c) execute the environmental management plan;
- (d) employ and train Zambians;
- (e) execute the programme of prospecting;
- (f) commence the exploration operations within one hundred and eighty days of grant of the licence;
- (g) give notification of any discovered minerals or commercial deposits within thirty days of the discovery;
- (h) give preference to Zambian products and services;
- (i) permanently preserve or make safe any water boreholes and surrender water rights on expiry of licence;
- (j) surrender to Government the drill cores and other mineral samples;
- (k) remove, within sixty days of the expiry, cancellation or termination of the exploration licence, any camp, temporary buildings or machinery and repair or make good any damage as required under the Mines and Minerals Development Act, No. 11 of 2015;
- (l) keep and preserve such records as the Minister may prescribe in relation to the environment;
- (m) submit reports to the Director of Geological Survey, Director of Mines and Director of Mines Safety in both hard and electronic copies;
- (n) keep full and accurate records of the prospecting operations, at the holder’s office;
- (o) obtain appropriate insurance for all phases of its operations;
- (p) submit a Pegging Certificate for approval within one hundred and eighty days of grant of the licence; and
- (q) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.

2. The holder shall—

- (a) expend on exploration operations each year, not less than the minimum annual exploration expenditure set out in the Second Schedule, failing which the holder commits an offence and is liable, on conviction, to a fine –
 - (i) equal to the difference between the minimum annual exploration expenditure and the amount actually expended on exploration operations in that year if less than five hundred thousand penalty units; or
 - (ii) of five hundred thousand penalty units if the difference between the minimum annual exploration operations in that year exceeds the equivalent of five hundred thousand penalty units; and
- (b) submit annual exploration expenditure statements accompanied by copies of relevant transaction documents to the Director of Geological Survey.

3. The holder shall be liable for harm or damage caused by the exploration operations and shall compensate a person to whom harm or damage is caused.

4. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.

5. Other terms and conditions are as follows:

.....

.....

.....

C. CONDITIONS OF GRANT OF AN EXPLORATION LICENCE – SMALL-SCALE EXPLORATION

1. The holder shall—
 - (a) pay the relevant taxes under the Income Tax Act, Cap. 323;
 - (b) execute the Environmental Project Brief;
 - (c) execute the programme of prospecting operations;
 - (d) commence the prospecting operations within one hundred and eighty days of the grant;
 - (e) give notification of discovered minerals or commercial deposits within thirty days of the discovery;
 - (f) give preference to Zambian products and services;
 - (g) permanently preserve or make safe any water boreholes and water rights on expiry of the licence;
 - (h) surrender to Government, without compensation, drill cores and other mineral samples;
 - (i) remove, within sixty days of expiry or termination of the exploration licence, any camp, temporary buildings or machinery, and repair or make good any damage as specified by the Director of Geological Survey;
 - (j) keep and preserve such records as the Minister may prescribe in relation to the environment;
 - (k) submit reports to the Director of Geological Survey, Director of Mines or Director of Mines Safety in both hard and electronic copies;
 - (l) keep full and accurate records, at the holder's office, of the prospecting operations;
 - (m) obtain appropriate insurance for phases of its operations;
 - (n) submit a Pegging Certificate for approval within one hundred and eighty days of grant of permit; and
 - (o) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
2. The holder shall be liable for harm or damage caused by the prospecting operations and shall compensate a person to whom harm or damage is caused.
3. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
4. Other terms and conditions are as follows:
.....

D. CONDITIONS OF GRANT OF MINING LICENCE- SMALL-SCALE MINING

1. A holder shall—
 - (a) develop the mining area in accordance with the approved programme of mining operation;
 - (b) execute the environmental management plan;
 - (c) demarcate the mining area and keep it demarcated;
 - (d) pay mineral royalty in accordance with the Mines and Minerals Development Act, No. 11 of 2015 and the Income Tax Act, Cap. 323;

- (e) maintain at the holder's office, complete and accurate technical and financial records of mining operations;
 - (f) permit authorised officers, at any time, to inspect all records, mining or mineral processing operations;
 - (g) submit statutory reports, records and other information concerning mining operations;
 - (h) submit copies of annual audited financial statements within three months of the end of each financial year;
 - (i) submit reports on external supplies of ore, concentrates, tailings slimes or other mineral fed to the plant;
 - (j) provide current information on recovery from ores, mineral products, production costs and sales;
 - (k) conduct operations only upon meeting the requirements under the Environmental Management Act, No. 12 of 2011;
 - (l) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (m) obtain appropriate insurance for phases of its operations;
 - (n) submit a Pegging Certificate for approval within one hundred and eighty days of grant of licence; and
 - (o) comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.
2. Illegal mining and trade are not permitted in the mining area.
 3. The holder shall not enter into an agreements or transfer the licence without the prior consent of the Minister.
 4. The holder shall be liable for harm or damage caused by the mineral processing or mining operations and shall compensate a person to whom harm or damage is caused.
 5. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
 6. Other terms and conditions are as follows:

.....

.....

E. CONDITIONS OF GRANT OF A MINERAL PROCESSING LICENCE

1. The holder shall-
 - (a) pay taxes under the Income Tax Act, Cap. 323;
 - (b) pay mineral royalty;
 - (c) commence operations within three years of the grant;
 - (d) execute the approved programme of mineral processing operations;
 - (e) expend not less than the approved amount on mineral processing operations;
 - (f) submit reports on source of ore, concentrates, tailing, slimes or other mineral fed to the plant;
 - (g) submit statutory reports and other information concerning the operation;
 - (h) execute the environmental management plan;

- (i) provide current information on recovery from ores, mineral products, production costs and sales;
 - (j) conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (k) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (l) obtain appropriate insurance for all phases of its operations;
 - (m) submit a Pegging Certificate for approval within one hundred and eighty days of grant of licence; and
 - (n) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia
2. The holder shall not enter into an agreement or transfer the licence without the prior consent of the Minister.
 3. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.
 4. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
 5. Other terms and conditions are as follows:
.....
.....
.....

F. CONDITIONS OF GRANT OF MINING LICENCE—ARTISANAL MINING

1. The holder shall—
 - (a) submit statutory reports and other information concerning the operation;
 - (b) pay mineral royalty and other charges in accordance with the Mines and Minerals Development Act, No. 11 of 2015;
 - (c) execute the environmental project brief;
 - (d) maintain production and financial records at the holder’s office;
 - (e) provide current information on recovery from ores, mineral products, production costs and sales;
 - (f) conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (g) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (h) submit a Pegging Certificate for approval within one hundred and eighty days of grant of the right; and
 - (i) comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.
2. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.
3. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.

- 4. Other terms and conditions are as follows:

.....

G. CONDITIONS OF A MINERAL TRADING PERMIT

- 1. A Mineral Trading Permit is not transferable.
- 2. A holder shall submit monthly purchases and sales returns with the names and addresses of the sellers and purchasers.
- 3. A holder shall at all times make the records available for inspection by an authorised officer.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 4. The other terms and conditions are as follows:

.....

H. CONDITIONS OF GRANT OF A MINERAL EXPORT PERMIT

- 1. A mineral export permit is not transferable.
- 2. A mineral export permit shall not be applicable to radioactive minerals.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia
- 4. The other terms and conditions are as follows:

.....

I. CONDITIONS OF GRANT OF A MINERAL IMPORT PERMIT

- 1. A mineral import permit is not transferable.
- 2. A mineral import permit shall not be applicable to radioactive minerals.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 4. The other terms and conditions are as follows:

.....

J. CONDITIONS OF GRANT OF AUTHORISATION TO ACQUIRE/STORE/TRANSPORT/SELL/ IMPORT/ EXPORT RADIOACTIVE MINERALS

- 1. The holder shall not transfer the permit.
- 2. The permit holder shall comply with the requirements of the Ionizing Radiation Protection Act, No. 16 of 2005.
- 3. The acquisition, storage, transportation, sale or export of radioactive minerals or concentrates shall be done in accordance with the Mines and Minerals Development (Prospecting, Mining and Milling of Uranium Ores and Other Radioactive Minerals) Regulations, 2008.
- 4. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 5. The other terms and conditions are as follows:

.....
.....

K. CONDITIONS OF GRANT OF AN ABANDONMENT CERTIFICATE

- 1. The abandonment does not relieve the person granted the abandonment certificate of obligations or liabilities incurred before the grant.
- 2. The abandonment certificate is not transferrable to another person or mining right area held by the person granted the abandonment certificate.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 4. The other terms and conditions are as follows:

.....
.....

L. CONDITIONS OF GRANT OF A MINERAL ANALYSIS CERTIFICATE

- 1. The mineral analysis certificate is granted in respect of the mineral commodity analysed and shall not be transferrable to another consignment or person.
- 2. The certificate shall be admissible in the assessment of mineral royalty.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 4. The other terms and conditions are as follows:

.....
.....

M. CONDITIONS OF GRANT OF A MINERAL VALUATION CERTIFICATE

- 1. The mineral valuation certificate is granted in respect of the mineral commodity analysed and shall not be transferrable to another consignment or person.
- 2. The certificate shall be admissible in the assessment of mineral royalty.
- 3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
- 4. The other terms and conditions are as follows:

.....

.....

N. CONDITIONS OF GRANT OF GOLD PANNING CERTIFICATE

- 1. The holder shall-
 - (a) submit labour and mineral production returns;
 - (b) pay mineral royalty and other charges in accordance with the Mines and Minerals Development Act, 2015;
 - (c) execute the environmental project brief;
 - (d) maintain production and financial records at the holder’s office;
 - (e) provide current information on recovery from ores, mineral products, production costs and sales;
 - (f) conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (g) not use chemicals in the processing of Gold; and
 - (h) comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.
- 2. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.
- 3. The holder shall not exercise a right under the certificate without the prior consent of the legal occupiers of the land or local chiefs.
- 4. Other terms and conditions are as follows:

.....

.....

.....

C. YALUMA,
*Minister of Mines and
Mineral Development*

LUSAKA
18th January, 2016
[MMM.D.111/3/6 CONF.]